

“Effective Internal Audit Planning for Banks in Vietnam” Workshop

Date: 10 Jan 2017 in Hanoi | 12 Jan 2017 in HCMC

Time: 8:30 – 17:00

To give internal auditors the ability to apply risk based internal auditing principles to audits assignments.



Benefits of attending

Objective

To give internal auditors the ability to develop an effective annual internal audit plan to address the needs and expectations of the stakeholders and the key risks of the organization.

Recent years have watched significant reformation of banks in Vietnam in order to improve liquidity, strengthening financial position and meeting with increasing requirements from the State Bank of Vietnam and foreign investors. With timeline to Basel II adoption coming, Vietnam banks will have to do a lot more to achieve their objectives including their financial objectives and compliance with this international regulatory framework.

“Internal audit (IA) helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes”- quote from Definition of IA by The Institute of Internal auditors (IIA). Moreover, circular 44 issued by The State bank of Vietnam on IA activities states Internal audit to apply risk based approach.

Who should attend?

- Audit directors
- Audit managers
- Audit team leaders
- Internal auditors in banks who want to improve their current internal audit processes.

Risk based approach facilitates the optimization of limited IA resources to prioritise for areas/activities with higher/medium risk. Beside traditional high risk areas in banks i.e. credit risks, should emerging market risks, operational risks including but not limited to cyber risk, compliance risks and or fraud risks be taken into assessment for the development of annual internal audit plan?

With PwC's expertise in internal audit and training experience for banks, we will bring you hands on knowledge to apply in your development of risk based annual internal audit plan to meet with key stakeholders' expectations and in line with corporate's objectives.

This is a participative one-day course that will include face to face training, workshop sessions and exercises.



Workshop agenda



What is risk based internal auditing?

- Key principles
- The stages of Risk Based Internal Auditing



Process steps to develop risk-based annual internal audit plan

- Understand corporate strategies and objectives
- What is audit universe and how to build one?
- Risk assessment conducted by internal audit
- Development of annual audit plan based on outcome of risk assessment
- Communicate and approve of annual audit plan

What did past participants say?

"Excellent – re-energised my thoughts on the importance of control."

"The most interesting course I have ever taken."

"Excellent! I can participate comfortably and it is relevant to my daily work."

How to register

Please register your interest by contacting us via email:

❖ In HCMC

Ms. Nguyen Thi Huyen Ngan

Email:

nguyen.t.huyen.ngan@vn.pwc.com

Phone: +84- 908 769 891

❖ In Hanoi

Ms. Duong Thai Ha

Email: duong.thai.ha@vn.pwc.com

Phone: +84- 982721890

Closing date: 26 December 2016

We will not accept registrations after this date.

Registration fees

VND 8,000,000/ pax

- This is VAT included
- Discount: 10% off for more than 1 participant

About your workshop leaders



Xavier Potier

Xavier is Director of Risk Assurance Services, PwC Vietnam. Xavier has over 15 years experience in risk and internal audit for international organisations and has supervised risk management assignments across Europe for large industrial companies. He is an Official trainer at the European Court of Auditors in Luxembourg teaching risk management and internal audit and has vast experience in board-level coaching.



Nguyen Tran Ngoc Anh

Ngoc Anh is Manager of Risk Assurance Services, PwC Vietnam. She has 8 years of experience in internal audit before joining PwC Vietnam. Ngoc Anh has extensive experience in internal audit where she lead team to deliver approved internal audit plan. Ngoc Anh has worked in different industries, including banking, insurance, and others. She was also involved in our latest Japanese seminars in Hanoi and Ho Chi Minh City.

Registration Form (in Hanoi)

“Effective Internal Audit Planning for Banks in Vietnam” Workshop

Date: Tuesday, 10 January 2017

Fee: VND 8,000,000/pax

Location: Training room, PwC Vietnam – Hanoi office, 16th floor, Keagnam Hanoi Landmark 72, Pham Hung, Nam Tu Liem District

10% discount offered to clients sending more than one participant – fees inclusive of lunch, refreshments, trainee pack and training certificate

Please register the following participants:

Name: Mr/Mrs/Ms _____

Role: _____

Email: _____

Name: Mr/Mrs/Ms _____

Role: _____

Email: _____

Contact Person

Name: Mr/Mrs/Ms _____

Role: _____ **Company:** _____

Address: _____

Tel: _____ **Fax:** _____

VAT code (for Invoice purpose): _____

Email: _____

Registration can be made by emailing the completed form to: duong.thai.ha@vn.pwc.com

Please make payment to:

Account name: PricewaterhouseCoopers (Vietnam) Ltd

Account number: 3009523 (VND) | 3009512 (USD)

Bank's name: ANZ Bank (Vietnam) Ltd – 39 Le Duan Street, District 1

SWIFT CODE: ANZBVNVX472

Description: PwC Academy Training_ [Company's name]

Attendance will only be confirmed upon receipt of payment

- **Cancellation Policy:** If you are unable to attend, a replacement is welcome. For cancellation received in writing at least seven (7) days before the workshop, a full refund will be made. No refund (or full rate will be charged) if a cancellation occurs less than 7 days before the workshop date. Notice of cancellation must be made in writing to us.
- **Award of Certificate:** Certificate of Attendance will be awarded on successful completion of the workshop
- **Reservation Hotline:** For registration and reservation enquiries, please call **Ngoc** on +84 967294989
- **Change of Venue/Date/Programme:** The organiser reserves the right to change the venue, date and programme if there is insufficient demand for the training course or due to other circumstances outside our control.

Registration Form (in HCMC)

“Effective Internal Audit Planning for Banks in Vietnam” Workshop

Date: Thursday, 12 January 2017 **Fee:** VND 8,000,000/pax

Location: Novotel Saigon Hotel, 167 Hai Ba Trung, D3, Ho Chi Minh City

10% discount offered to clients sending more than one participant – fees inclusive of lunch, refreshments, trainee pack and training certificate

Please register the following participants:

Name: Mr/Mrs/Ms _____

Role: _____

Email: _____

Name: Mr/Mrs/Ms _____

Role: _____

Email: _____

Contact Person

Name: Mr/Mrs/Ms _____

Role: _____ **Company:** _____

Address: _____

Tel: _____ **Fax:** _____

VAT code (for Invoice purpose): _____

Email: _____

Registration can be made by emailing the completed form to: nguyen.t.huyen.ngan@vn.pwc.com

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