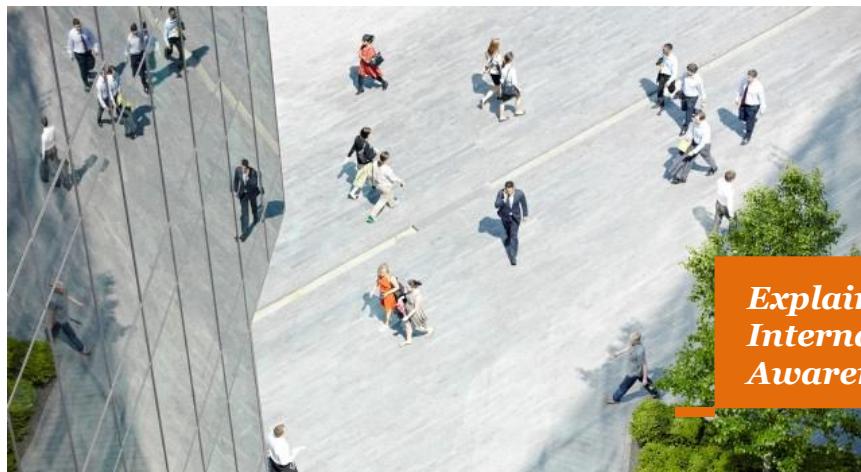


# Internal Control & Fraud Awareness

2-day workshop, 29<sup>th</sup> and 30<sup>th</sup> June, Hanoi



**Explaining the world of  
Internal Control & Fraud  
Awareness**

## Objective

*To convince you of the importance of good controls both for business reasons and for regulatory compliance with a practical focus to enable you to take good practice to your workplace.*

## Benefits of attending

In an increasingly regulated corporate world many organisations need assistance making necessary changes to their business practices. Internal controls are no longer a regime for finance staff but all management who are required to take ownership of and sign off on controls. The challenge however is to understand how you can create a strong control environment in your organisation and how you can practically implement an Internal Control framework.

This course is your first building block in a strong structure called internal control that allows you to achieve your business, financial reporting and compliance objectives. Course material is based on a strong methodology grounded in regulations associated with Corporate Governance and the COSO framework.

By the end of the workshop participants will:

- Be convinced of the importance of good control both for business reasons and regulatory compliance
- Be aware of the regulatory environment which requires that businesses demonstrate strong controls
- Understand the fundamentals of control including key types of control, IT controls and reconciliation controls
- Be conscious of control in your everyday work, becoming “ambassadors” for good control, including execution, monitoring and assessing effectiveness of existing controls in your own organisations
- Have considered fraud and how to reduce the risk of fraud in their organisations by implementing and maintaining a strong and effective control environment.

## Who should attend?

Directors or managers who would like to know more about internal control or are new to the function.

This is an introductory course and is not intended for people who already have significant experience in the subject.



Technical  
courses



Soft skills  
courses



Conferences  
& events



Training  
advisory

# Workshop agenda

## Day 1

- The Business Case for Control
- Role of the Audit Committee
- Control Fundamentals
- Control Issues - Case Study 1
- Reconciliations
- IT Controls
- Control Issues - Case Study 2

## Day 2

- Recap Quiz
- Control Effectiveness
- Control Issues - Case Study 3
- Monitoring and Remediation
- Fraud - Singapore Case Study
- Case study - change of system, changing risks, change of controls
- Action Planning

## What did past participants say?

*"Excellent - energised my thoughts on the importance of control"*

*"The most interesting course I have ever taken"*

*"Very interesting, informative and helpful"*

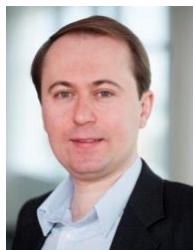
*"Useful for my daily job..."*

*"Lots of practical examples and interactive communication"*

*"Excellent! I can participate comfortably and it is relevant to my daily work"*

*"I now have a good understanding of the COSO framework"*

## About your workshop leaders



**Xavier Potier**

Xavier is Risk Assurance Services Director of PwC Vietnam. Xavier has over 15 years experience in internal audit and risk management for international organisations and has supervised assignments across Europe and Asia for large industrial companies. He is an official trainer at the European Court of Auditors in Luxembourg teaching risk management and internal audit and has vast experience in board-level coaching.



**Ivan Pham**

Ivan is a Director of Risk and Governance Consulting and Forensics Services for PwC Vietnam. Ivan has more than 18 years of professional experience in accounting, finance and auditing. He has more than three years of experience with SOX Implementation and twelve years of experience in areas such as compliance, internal controls and audit, IT audit, FCPA and enterprise risk management. He is currently a trainer for CIA Certification and has extensive knowledge in COSO IC, COSO ERM and COBIT frameworks.

## How to register

Register your interest by contacting us via email at [pham.thao.ngoc@vn.pwc.com](mailto:pham.thao.ngoc@vn.pwc.com) or phone on +84 967294989

Closing date for registration is **Friday June 19<sup>th</sup>**

## Registration fees

\$795 / VND 16.7m (10% discount for more than 1 participant)

# Registration Form

**Internal Control & Fraud Awareness** (29<sup>th</sup> and 30<sup>th</sup> June 2015, 16.7 million VND/ \$795 per person)

**Location:** PwC Office, 16th Floor Keangnam Hanoi Landmark 72, Pham Hung Road, Tu Liem District, Hanoi

*10% discount offered to clients sending more than one participant – fees inclusive of lunch, refreshments, trainee pack and training certificate*

*Please register the following participants:*

**Name:** Mr/Mrs/Ms \_\_\_\_\_

**Role:** \_\_\_\_\_

**Email:** \_\_\_\_\_

**Name:** Mr/Mrs/Ms \_\_\_\_\_

**Role:** \_\_\_\_\_

**Email:** \_\_\_\_\_

## Contact Person

**Name:** Mr/Mrs/Ms \_\_\_\_\_

**Role:** \_\_\_\_\_ **Company:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**Tel:** \_\_\_\_\_ **Fax:** \_\_\_\_\_

**VAT code (for Invoice purpose):** \_\_\_\_\_

**Email:** \_\_\_\_\_

Registration can be made by emailing the completed form to: [pham.thao.ngoc@vn.pwc.com](mailto:pham.thao.ngoc@vn.pwc.com)

Please make payment to:

*Account name: PricewaterhouseCoopers (Vietnam) Ltd*

*Account number: 3009523 (VND)*

*3009512 (USD)*

*Bank's name: ANZ Bank (Vietnam) Ltd – 39 Le Duan Street, District 1*

*SWIFT CODE: ANZBVNFX472*

*Description: PwC Academy Training\_[Company's name]*

**Attendance will only be confirmed upon receipt of payment**

**Cancellation Policy:** If you are unable to attend, a replacement is welcome. For cancellation received in writing at least seven (7) days before the workshop, a full refund will be made. No refund (or full rate will be charged) if a cancellation occurs less than 7 days before the workshop date. Notice of cancellation must be made in writing to us.

**Award of Certificate:** Certificate of Attendance will be awarded on successful completion of the workshop

**Reservation Hotline:** For registration and reservation enquiries, please call **Ngoc** on +84 967294989

**Change of Venue/Date/Programme:** The organiser reserves the right to change the venue, date and programme if there is insufficient demand for the training course or due to other circumstances outside our control.