

Anti-Money Laundering for Non-Financial Institutions

Half-day workshop



Are you aware of the money laundering and terrorist financing risks your organisation faces?

Objective

To raise the level of awareness of participants on money laundering and terrorist financing risks and enable them to use the AML lifecycle approach to better manage these risks.

Who should attend?

Directors, managers, or anyone working in a designated non-financial business or profession, who wants to learn more about AML and CFT risks and how to better manage these risks.

Benefits of attending

Financial institutions have strengthened their Anti-Money Laundering (“AML”) and Counter Terrorist Financing (“CFT”) measures in the past decades and the Financial Action Task Force (“FATF”) have observed a trend that criminals have been increasingly using non-financial industries to hide and launder the proceeds from their activities.

Designated non-financial businesses and professions (“DNFBP”) is the FATF description for any business or profession that poses a money laundering risk but cannot be classified as a financial institution. It consists of businesses and professions such as corporate service providers, auditors, tax advisors, casinos and other gambling service providers, dealers in precious metals and precious stones, lawyers, notaries and other independent legal professionals, real estate agents, trusts. The FATF standards require countries to improve their Anti-Money Laundering and Counter Financing of Terrorism (AML/CFT) measures on DNFBPs.

This workshop will provide fundamental AML and CFT principles and cover generic measures aimed at reducing AML and CFT Risks.

Learning is achieved via practical activities which reinforce the theory; case studies, a board game and short exercises; and topic-related videos which successfully simplify the AML and CFT content.

Workshop agenda

Fundamental concepts of Anti-Money Laundering and Terrorist Financing

- Have an overall understanding of AML
- Be aware of the relevant legal and regulatory frameworks

The AML lifecycle

- Be familiar with the AML life cycle and know how to apply the AML life cycle in your industry:
 - Risk Assessment
 - Client Due Diligence
 - Enhanced Due Diligence
 - Monitoring
 - Reporting

Case studies

- How to recognise Money Laundering and Terrorist Financing? Participants will work in teams on different case studies aimed at discovering money laundering in real-life situations

AML game

- Test and practice the participant's acquired knowledge on AML through an interactive board game

About your workshop leader



Carolien Kapel
Risk Assurance/PwC's Academy

Carolien has over 10 years of experience advising organisations on their governance structure, risk management processes and internal controls.

She has worked on AML remediation projects in the Financial Services industry, and is currently leading a large AML/CFT compliance exercise in the DNFBP industry.

Carolien is a Certified Anti-Money Laundering Specialist (CAMS) and a Certified Internal Auditor (CIA)

Registration fee (Includes 7% GST)

PwC Clients & Alumni: S\$315
Public: S\$345

How to register

Please fill in the registration form attached below and send it to academy.sg@sg.pwc.com.

For further queries, please contact Ms. Jaslyn at (65) 9623 4123.

A complete calendar of training courses can also be found on our website www.pwc.com/sg/academy.

Registration Form

Anti-Money Laundering for Non-Financial Institutions

Fees: PwC clients/alumni - S\$315 • Public - S\$345

Please register the following person/s for the workshop on:

30 May 2018 (9.00 am – 1.00 pm)

1. Name: Mr/Ms/Mdm Designation:

Email: Contact No:

2. Name: Mr/Ms/Mdm Designation:

Email: Contact No:

Contact Person

Name: Mr/Ms/Mdm Designation:

Company: Address:

Email: Contact No.: Fax:

Enclosed is cheque for S\$..... (Cheque no.) made payable to:
“PricewaterhouseCoopers Risk Services Pte. Ltd.” Kindly indicate the course reference “**AML**” along the payment for ease of reference, depending on your selection.

Registration and Payment

Registrations will only be confirmed upon receipt of payment and registration form. Please email the scanned copy of your registration form to academy.sg@sg.pwc.com and/or mail the registration form and payment to **7 Straits View, Marina One, East Tower, Level 9 Mail Centre, Singapore 018936 (Attn: Jaslyn LG Lau, PwC's Academy)**

For registration and enquiries, please call Ms Jaslyn at (65) 9623 4123 or email us at academy.sg@sg.pwc.com.

In-Company Training

This workshop can be presented on-site at your organization as an in-house programme. For more information and enquiries, please contact Tony Moore at (65) 9637 5489 or email at anthony.moore@sg.pwc.com.

Note:

- Fees includes 7% GST, refreshment, lunch and seminar kit.
- The seminar will be conducted at a dedicated training room at our office in Marina One or at a venue located near an MRT.
- Certificate of Attendance will be awarded on successful completion of the workshop.

Cancellation Policy:

- Full payment must be made prior to the seminar.
- Registered participants will be liable for the full fee even in the event of non-attendance.
- Upon receipt of registration, any request for cancellation or a replacement must be confirmed in writing at least **(7) working days** before the seminar and subject to PwC's Academy's approval
- A 10% administrative fee will be imposed for any cancellation or deferment received less than **(5) working days** before the seminar.
- PwC reserves the right to amend, postpone or cancel or postpone the seminar due to unforeseen circumstances.