

PwC Japan Group Seminar International Fraud, Risk, and Compliance Conference



PwC cordially invites you to its upcoming “International Fraud, Risk, and Compliance Conference.”

Japanese companies are continuing to expand and operate businesses overseas and, as such, are confronted with both increased fraud and corruption risk and the vigorous regulatory enforcement of anti-corruption laws. Awareness of these risks and challenges, and developing strategies for addressing them both domestically and overseas, are critical for building successful, compliant global businesses.

In this seminar, top specialists from PwC Japan and across the PwC global network will offer insights on the following:

- Findings from PwC’s 2018 Global Economic Crime Survey
- Fraud issues and third-party risks in Malaysia and Southeast Asia
- Leveraging technology in preventing, detecting, and monitoring fraud
- Panel discussion on current trends and the regulatory environment facing Japanese companies

We look forward to having you join us.

Outline

- Date: Monday, March 12, 2018, 13:30-18:00 (13:00 Registration)
Networking Reception 16:50-18:00
- Location: PwC Japan Group Office - 15th Floor Seminar Room
Otemachi Park Building, 1-1-1 Otemachi, Chiyoda-ku, Tokyo 100-0004
- Target Audience: Senior management, legal, compliance, risk management, operations, business development divisions*

Attorneys specializing in cross-border and regulatory work

*Professionals from these functional divisions will be given priority to attend this event.
- Capacity: 120 people ※In the event the seminar applications exceed capacity, attendee selections will be based on a lottery system.
- Language: Japanese and English (with simultaneous interpretation)
- Entry Fee: Free (Pre-registration required)
- Registration link: Please register at the following website:
<https://www.pwc.com/jp/ja/seminars/p1180312>
- Contact: Attn: Daisuke Komuku
E-mail: pwc.jp.marketing@jp.pwc.com

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Program

Time	Session	Speakers
13:00-13:30	Registration	
13:30-13:35	Introduction – Opening Remarks	PwC Japan Group, Territory Senior Partner Koichiro Kimura
13:35-14:20	[Part 1] 2018 Global Economic Crime Survey – Japan and Global Observations	PwC United States, Principal Didier Lavion PwC Advisory LLC, Manager Akiko Hirao
14:20-15:05	[Part 2] Fraud risks in Malaysia/South East Asia and the Importance of Using Intelligence to Mitigate Third Party Risks	PwC Malaysia, Partner Alex Tan PwC Consulting LLC, Director Naoko Matsuzaki
15:05-15:15	Break	
15:15-16:00	[Part 3] Leveraging Technology in Compliance Programs	PwC United States, Principal Justin Offen PwC Advisory LLC, Manager Ryusuke Nara
16:00-16:45	[Part 4] Panel Discussion: Current Trends and Regulatory Enforcement	<u>Speakers</u> PricewaterhouseCoopers Aarata LLC, Partner Shaun Willcocks PwC United States, Principal George Prokop PwC Malaysia, Partner Alex Tan <u>Moderator</u> PwC Consulting LLC, Partner Shin Honma
16:45-16:50	Closing Remarks	PwC Advisory LLC, Director Go Otsuka
16:50-18:00	Networking Reception	

※Sessions and speakers are subject to change due to scheduling conflicts

About PwC Japan Group

PwC Japan Group represents the member firms of the PwC global network in Japan and their subsidiaries. Each firm of PwC Japan Group undertakes its business as an independent and separate corporate entity. To address complex and diversified business challenges, PwC Japan Group consolidates expertise of assurance, consulting, deal advisory, tax and legal services as well as enhances its structure in order to cooperate organically. As a professional service network with a total number of about 5,500 partners and staff, PwC Japan Group provides quality client services to meet their needs and expectations.

Speakers

Koichiro Kimura – PwC Japan Group, Territory Senior Partner

Mr. Kimura is the PwC Japan Group Chairman and Territory Senior Partner, and he has held this role since June 2016. He is also a member of the PwC Global Strategy Council. Mr. Kimura has been involved in numerous US GAAP and J-GAAP financial statements audits, primarily of clients in the technology, entertainment and shipping industries. He has also engaged in accounting system implementation projects. In addition to XBRL Japan marketing activities, he was a committee member on XBRL with the JICPA for three years.

Shin Honma – PwC Consulting LLC, Partner

Mr. Honma is a Partner in Forensic Services practice in Tokyo, and he brings over 18 years of investigations, anti-corruption compliance, litigation support, and financial statement analysis experience. Mr. Honma frequently advises corporate management and its counsel on complex accounting issues, and fraud and corruption related matters. Mr. Honma has extensive experience assisting Japanese companies with conducting anti-bribery and corruption related investigations and designing, enhancing, and implementing their anti-corruption compliance programs.

Shaun Willcocks – PricewaterhouseCoopers Aarata LLC, Partner

Mr. Willcocks helps lead Internal Audit (IA) and Governance, Risk and Compliance (GRC) division. He has over 18 years' experience delivering internal and external assurance services to international and Japanese companies, and providing advice on the management of risk and control. This includes working with companies facing regulatory compliance challenges, aligning their global response to risk and control, and enhancing governance over their subsidiaries and third parties. Mr. Willcocks also supports the Advanced Risk and Compliance Analytics (ARCA) practice, using data tools and techniques to optimize IA and GRC activities, investigate and respond to incidents, and provide better insight to management.

Go Otsuka – PwC Advisory LLC, Director

Mr. Otsuka is a Director in Forensic Services practice, and is a specialist in crisis management. Prior to joining PwC, he was a business consultant at a global IT firm and he was an in-house lawyer working on investment and crisis management issues at a Japanese trading company. Not only has he supported clients in order to find hidden risks, he has also been involved in providing support for complex projects such as PMI in cross-border M&A. In the Anti-trust law field, he is experienced with organizing negotiation materials, adjusting internal opinions, and constructing follow-up structures to decide negotiation policies with authorities in projects dealing with cartels.

Naoko Matsuzaki – PwC Consulting LLC, Director

Ms. Matsuzaki is a Director in Forensic Services Group and works within the International Anti-Corruption, Strategic Threat and Global Intelligence Practice. She is legally trained in both Japan (Keio University) and the U.S. (Stanford Law School). She is also PwC's Japan Global Intelligence leader. Ms. Matsuzaki focuses her practice in transnational regulatory matters including anti-corruption investigations under the U.S. Foreign Corrupt Practices Act (FCPA), anti-corruption regimes of Multilateral Development Banks (e.g. World Bank), anti-trust, and complex foreign transactions in emerging markets. She also works on anti-corruption matters relating to domestic implementation of the OECD and UNCAC anti-corruption treaties.

Akiko Hirao – PwC Advisory LLC, Manager

Ms. Hirao is a Manager in Forensic Services practice located in Tokyo. Ms. Hirao has over 15 years of accounting, finance, internal audit, compliance, and investigations experience. She specializes in anti-corruption compliance, financial and non-financial fraud investigations, contract compliance as well as litigation support. She has led a number of anti-corruption and other regulatory compliance reviews to identify gaps between the corporate established policies/ procedures and its local subsidiaries compliance to the policies/ procedures set forth at the corporate level. Ms. Hirao also has assisted companies investigate alleged bribery/ anti trust issues, assess anti-corruption risks of subsidiaries all over the world as well as to implement new anti-corruption policies and procedures. She has also led the issuance of the Japan results in connection with the PwC Global Economic Crime survey.

Speakers

Ryusuke Nara – PwC Advisory LLC, Manager

Mr. Nara is a Manager in Forensic Services practice in Tokyo. He brings over 9 years of professional services experience to his clients in Japan and overseas. He has worked on a variety of client matters including, but not limited to, assessing and developing the compliance structures and internal controls especially related to the Foreign Corrupt Practices Act (“FCPA”) compliance as well as other regulatory compliance and investigating allegations of fraudulent issues. He also has quite a few experiences of utilizing technologies, such as data collection and data analysis, in a variety of projects in the context of compliance programs.

Didier Lavion – PwC United States, Principal

Mr. Lavion is a Principal with PricewaterhouseCoopers LLP (PwC), the U.S. member firm in the global PricewaterhouseCoopers network. Mr. Lavion is a member of PwC’s Forensics practice in New York and the firm’s Financial Crimes Unit, leading its Anti-Bribery and Corruption activity and supporting the practice’s Anti-money laundering (AML) and Investigations offerings. His experience includes complex investigations, forensic reviews, AML remediation and transaction look-back reviews, and advising on regulatory and enforcement matters for various clients. Mr. Lavion also has experience in corporate intelligence, corruption investigations, and compliance reviews across a variety of industries and has led multiple large scale, multinational investigation, remediation, and e-discovery and data analytics engagements. Mr. Lavion has been the author of the 2016 and 2014 U.S. editions of PwC’s Global Economic Crime Survey is currently the Chair and principal author of PwC’s 2018 Global Economic Crime Survey, the results of which he will be presenting at today’s event.

Justin Offen – PwC United States, Principal

Mr. Offen is a Principal in PwC's Forensic Technology practice based in Atlanta, Georgia. He has extensive experience helping clients combine technology-enabled analytics with a growing wealth of available and disparate information to gain a more holistic understanding of risks and threats as well as developing strategic insights into solving their more complex challenges. Mr. Offen is a graduate of Duke University, having earned dual degrees in Biomedical and Electrical Engineering. He is an Oracle Certified Associate (OCA) and a member of the Association of Certified Fraud Examiners, has spoken at industry leading FCPA conferences, and has been published in compliance and legal journals.

George Prokop – PwC United States, Principal

Mr. Prokop co-leads the Strategic Threat Management and Corporate Intelligence practices at PricewaterhouseCoopers and is a senior practitioner in PwC's Anti-Corruption Centre of Excellence. He advises clients in both the public and private sector on leading practices in managing governance, risk, and compliance to identify and mitigate threats – financial, operations, human capital, legal/compliance, information technology – that adversely impact or disrupt the organization’s ability to achieve their strategic objectives. With 20+ years of experience advising private sector companies, as well as the U.S. and emerging market governments, Mr. Prokop has directed numerous efforts to design, develop and monitor corporate compliance programs targeted at mitigating risks of economic crime.

Alex Tan – PwC Malaysia, Senior Executive Director

Mr. Tan is a Senior Executive Director (Partner) within PwC’s Forensics’ team in Kuala Lumpur. He is also the Risk Consulting Leader for Malaysia. Alex specialises in the prevention, detection and response to financial crime particularly fraud, corruption, conflicts of interest / collusion, money laundering and cyber crimes. Mr. Tan has almost 30 years of investigative experience including 9 years as an Inspectorate officer with the Royal Hong Kong Police Force and 8 years with the New Zealand Serious Fraud Office. Mr. Tan joined PwC New Zealand in March 2005 and transferred to PwC Malaysia in March 2012.