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The Professional Development Program for the Financial Services Industry

Overview of Investment Companies

Who should attend

Investment management industry accounting, operations, tax and administrative personnel.

Benefits Description

Participants will explore the investment company from both a functional and operational perspective. Participants will gain a core understanding of historical legislation that defines the requirements for forming and operating an investment company and the key players that execute the fundamental operational processes performed by an investment company and its service providers. In addition, participants will explore the six key business processes impacting the calculation of net asset value, and learn about the financial statements required by federal legislation for all registered investment companies.

Other Course Information

Level: Basic
Length: 3.0 hours
CPE: 3.5
Delivery Method: Self-Study
Prerequisite: None
Price: \$298.00

How to Registration

To register online please visit our eLearn registration site at: <http://university.learnlive.com/pwcpdprogram>

For technical questions regarding the eLearning registration site or course, please call the LearnLive support line which is available between the hours of 8am and 8pm EST, Monday-Friday, at 1-800-908-8984 or e-mail the LearnLive customer support at: support@learnlive.com.

Learning objectives

- **Historical Beginnings** In this lesson, participants will explore the organizational structure of a registered investment company and the regulations that define how the business is organized.
- **Operational Processes** This lesson provides participants the ability to identify the roles and responsibilities performed by various service providers in the daily operations of the fund, how work flows through service providers to raise and manage money for the fund and why the funds' operational processes are executed in a specific way.
- **Calculating Net Asset Value** This lesson provides participants the ability to identify the information contained in the general ledger accounts of a sample trial balance, explain how a fund accountant relates accounting entries to the six key business processes, prepare journal entries to determine the change in Net Asset Value, identify the components used to calculate Net Asset Value and describe the impact of an error in Net Asset Value.
- **Financial Statements** In this lesson, participants will be able to recognize the key disclosure elements of each required financial statement.

Registration Policy

PwC reviews and updates all eLearning programs on an "as-needed" basis but at least annually. In the event that an active course is removed from our library for updating, all active, incomplete learners will be transferred into the updated version at no charge. All course registrations will expire one-year from the date of purchase.

Full payment will be required at the time that the participant enrolls in the course. It is important that the participant read the full description of the eLearn course prior to purchase as once the course is purchased there will be no refunds provided. Participants must complete the course and pass the assessment within the one-year time frame in order to qualify for CPE credits.



Questions/concerns

For miscellaneous question, please contact

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Additionally, PricewaterhouseCoopers LLP is registered with the National Association of State Boards of Accountancy (NASBA) as a Quality Assurance Service (QAS) sponsor of continuing professional education.

Advanced Preparation

To successfully engage in this course you will need:

Operating System:

- Windows 2000 to present
- Macintosh OS X

Browser:

- Internet Explorer (IE) 5.1+
- Firefox 1.0+
- Safari 1.0+

Browser Plug-ins:

- JavaScript/ActiveX and Plug-ins: To ensure the proper functionality of PwC Professional Development Program courses and webcasts, make sure to enable JavaScript and ActiveX in your browsers.
- Flash Player Plug-in: Our courses and webcasts take advantage of the Adobe Flash Player for streaming video. To install the free Flash Player, go to: http://www.adobe.com/shockwave/download/index.cgi?P1_Prod_Version=ShockwaveFlash
- Acrobat Reader: Handouts may be provided in Adobe Acrobat format. To install the free Acrobat Reader, go to: <http://www.adobe.com/products/acrobat/readermain.html>

Popup Blockers & Anti-SPAM programs:

- You must allow popup windows to access portions of this site. For details on how to do this, view our popup blocker tutorial page

Connection:

- Streaming audio requires 33.6Kbps, and streaming video requires between 90Kbps and 300Kbps, depending on the video quality.
- Archived files require a connection of 90Kbps or better.
- A high speed internet connection such as an intranet connection, DSL, or cable modem is recommended

Hardware:

- Monitor resolution of 1024x768.
- Speakers or headphones and a sound card with the ability to stream audio.
- Processor speed better than 1.0GHz with 256MB RAM

Firewall:

- Must allow RTMP traffic on port 1935 or 80 (or RTMP tunneling on port 80 with HTTP) for the following IP address ranges: 63.249.27.32 - 63.249.27.63