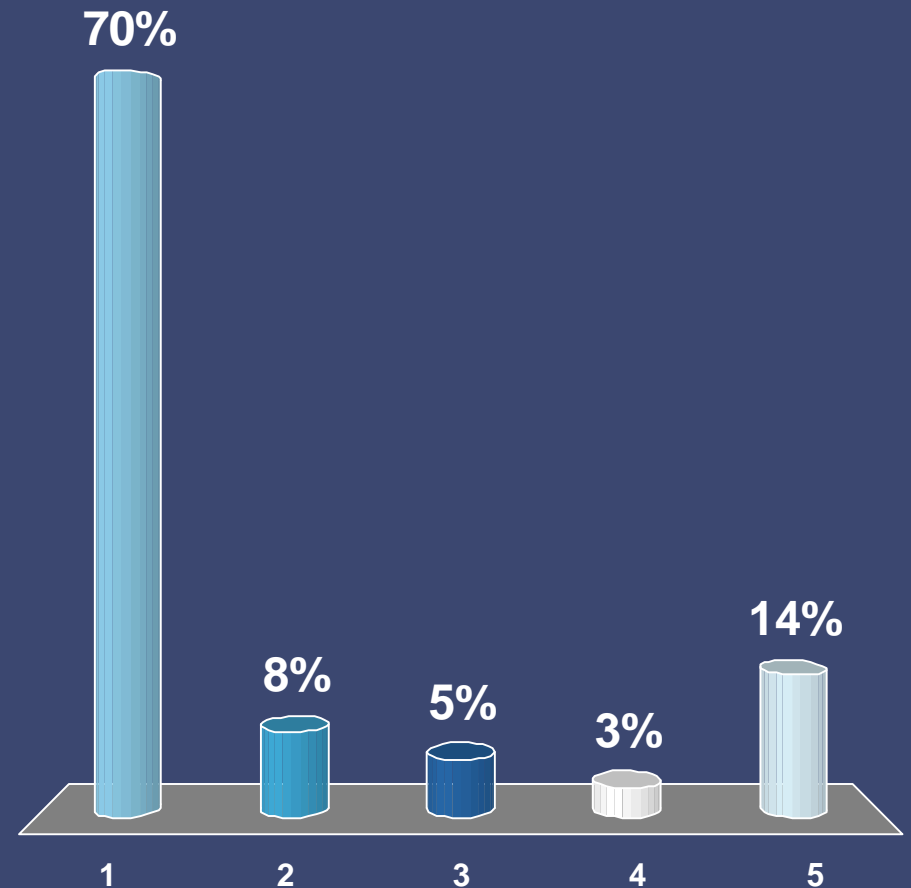


Polling Questions

What is your role within your organization?

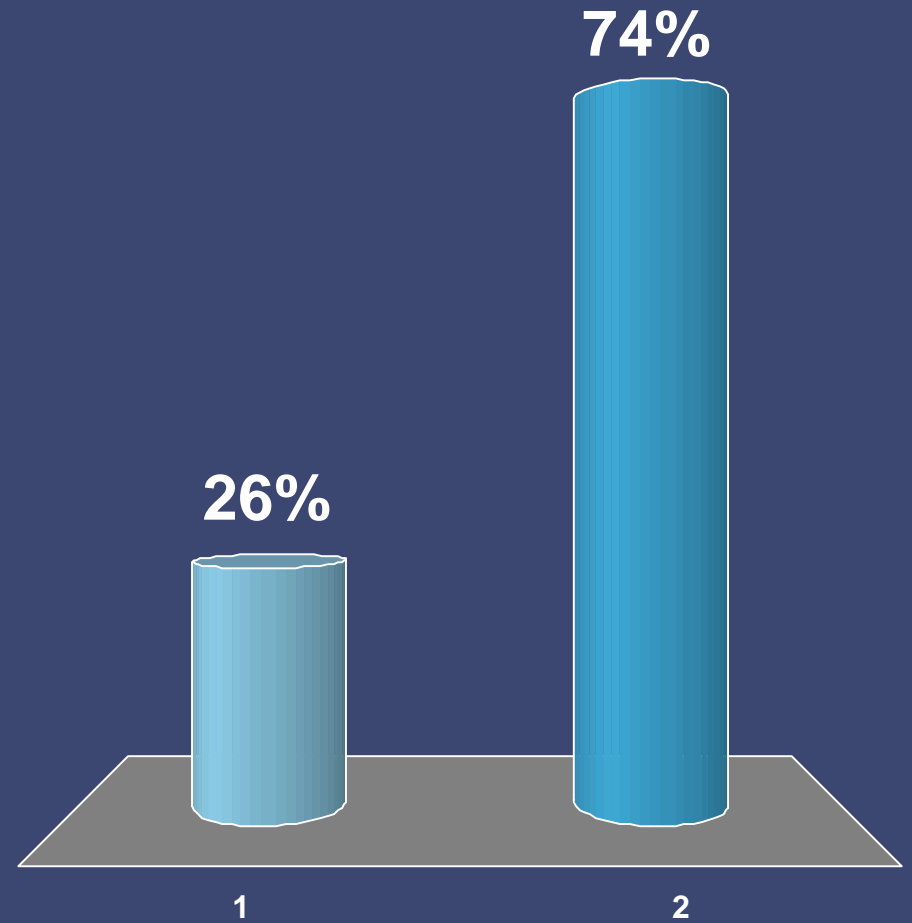
1. Mutual fund audit committee member/chair
2. Mutual fund director/trustee
3. Fund officer
4. Legal counsel
5. Other



Polling Questions

Have you participated in the due-diligence reviews at your service provider(s)

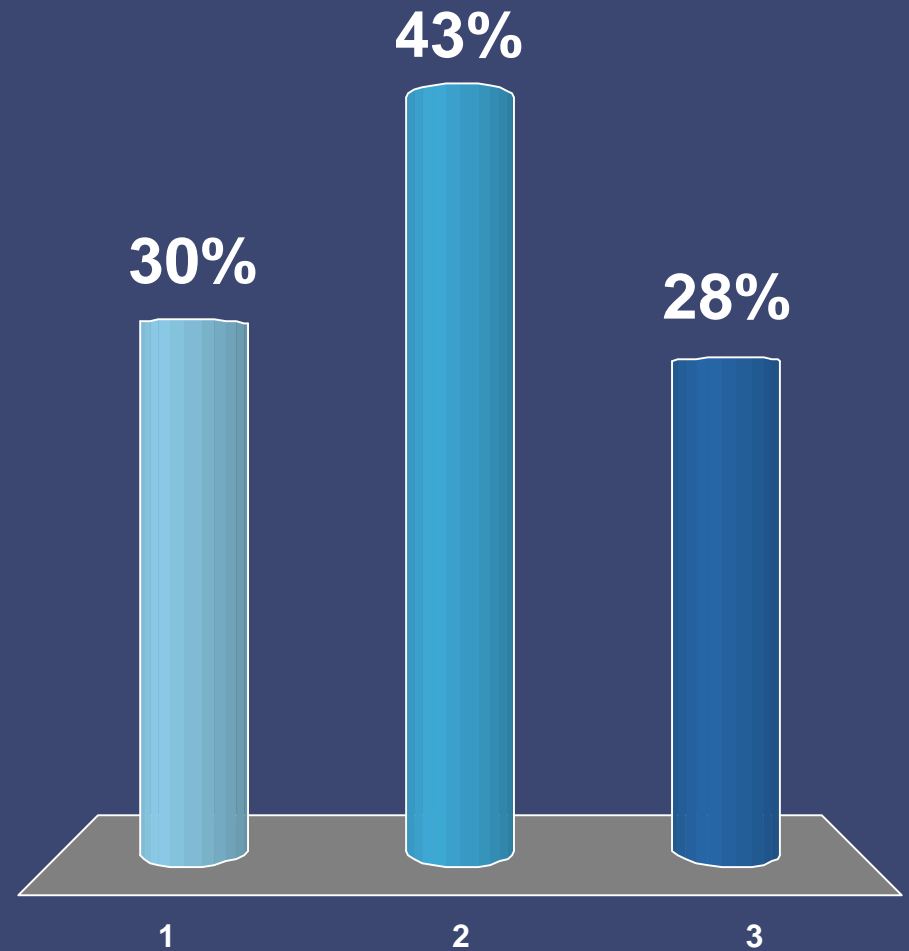
1. Yes
2. No



Polling Questions

Have you had a conversation with your chief compliance officer regarding forensic testing?

1. Yes
2. No
3. Not yet, but planning to



Polling Questions

As a result of FIN48, and perhaps in conjunction with complex tax issues generally, did your fund complex:?

1. Employ a tax director or other significant tax resource
2. Obtain tax opinion(s) from external legal counsel
3. Engage another public accounting firm
4. More than one of the above

