Joseph M. McGill

Managing Director, Chief Compliance Officer
UBS Global Asset Management

Mr. McGill has more than 21 years of investment experience and joined UBS Global Asset Management in June 2003. He is responsible for all U.S. and Canadian Compliance matters.

Prior to joining UBS Global Asset Management, Mr. McGill was Vice President and Assistant General Counsel for J.P. Morgan Fleming Asset Management where he was responsible for U.S. legal and compliance advisory issues. Prior to joining JPMIM, he was a Vice President with Goldman Sachs Asset Management where he was responsible for U. S. institutional compliance.

Mr. McGill also served as Vice President, Chief Compliance Officer and Counsel to J. & W. Seligman & Co. Incorporated. While at Seligman, he had overall compliance responsibility for several affiliated companies including a mutual fund family, two limited purpose broker-dealers and three registered advisory firms. Prior to that, Mr. McGill was the Assistant General Counsel at Prudential Securities Incorporated. At Prudential, Mr. McGill represented the Firm and its representatives in commodities arbitrations as well as investigations and proceedings initiated by the SEC, CFTC and other regulatory organizations. Mr. McGill also has experience as a Securities Examiner at the NASD and a Compliance Analyst at E.F. Hutton & Co.

Mr. McGill earned his B.A. from Manhattan College and his J.D. from New York Law School.

Lou Rauchenberger

Corporate Controller
JPMorgan Chase

Mr. Rauchenberger is Managing Director and Corporate Controller of JPMorgan Chase. He oversees SEC, OCC and Federal Reserve coordination and reporting, Accounting Policies, Internal Control Standards and the Controllers agenda within each of the lines of business. In addition, Mr. Rauchenberger is responsible for Finance Technology and Operations which includes the operation of the firm's general ledgers, MIS systems and accounts payable, T&E and fixed asset applications. Mr. Rauchenberger is also responsible for strategy setting around financial infrastructure and information delivery.

Mr. Rauchenberger has been with JPMC for over 20 years, beginning his career at J.P. Morgan in 1987. He has held various positions within the Investment Bank, Corporate Treasury and Controllers and became the firm's Corporate Controller at the end of 2006. Mr. Rauchenberger is a member of the Controllers Roundtable and is affiliated with the Clearinghouse Financial Reporting Committee.

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Dan Ryan

Partner
PricewaterhouseCoopers LLP

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Dan Ryan is a senior partner in PricewaterhouseCoopers' Financial Services Advisory Practice. Dan currently leads several practices within advisory including the firm's Regulatory Consulting Group. Dan also serves as a subject matter expert on regulatory investigations and litigation matters involving banks, securities firms and hedge funds. Dan has over 20 years of experience in the capital markets arena as an entrepreneur, CFO and consultant. Currently, Dan serves as a business advisor to a wide range of our global financial services clients and consults on matters ranging from business strategy and M&A activity to governance, risk and compliance issues which affect their businesses. Dan has also worked directly for the Boards of Directors of several major financial service firms advising them on financial, regulatory and risk matters.

Dan recently re-joined PricewaterhouseCoopers in February 2006 after successfully founding and then later selling his own technology firm, which was one of leading developers of trading technology for the NASDAQ equities market. Prior to that, Dan served as the CFO of a leading high tech investment bank, where he was responsible for all aspects of the firm's support infrastructure. Dan began his career at PricewaterhouseCoopers as an auditor focused on serving securities firms and banks, and later led the firm's Capital Markets Advisory Practice.

Dan holds a CPA in New York State and has an MBA in Finance from Columbia University. He has lectured on numerous topics at industry events and seminars and often serves a moderator for executive panel discussions.

Donald Truslow

Head of Risk Management
Wachovia Corporation

Don Truslow provides executive leadership to Risk Management. Don joined Wachovia in 1980 and has been in his current position since 2001.

Previous positions that Don has held at the company include Treasurer, Comptroller, Manager of the Wachovia Middle Market Corporate Banking Group, Chief Credit Officer of the South Carolina bank, Head of Loan Administration for the Piedmont Triad Region, Loan Administration Manager for the International Group, Loan Administration Officer, International Banking and General Loan Administration.

Don earned his B.A. in Commerce from the University of Virginia. He is a member of Roundtable Services Financial.

Don is Director, Federal Reserve Bank of Richmond, Charlotte office; member of the board of directors, Risk Management Association, Arts and Science Council, Charlotte; member of the board of visitors, Babcock Graduate School of Management, Wake Forest University; former member of the board of directors, RMA Virginia-Carolinas Chapter, South Carolina Philharmonic Orchestra, Central South Carolina Chapter of the American Red Cross; former vice president, Forsyth Technical Community College Foundation Development Board.

Grace B. Vogel

Executive Vice President, Member Regulation
FINRA

Grace B. Vogel is Executive Vice President, Member Regulation at FINRA. In this capacity, she oversees the Department of Risk Oversight and Operational Regulation, with responsibility for the ongoing surveillance and annual examinations of firms for financial and operational compliance and areas of broker-dealer risk management and supervision. Prior, Ms. Vogel was EVP of Member Firm Regulation at the New York Stock Exchange. When NYSE Member Regulation consolidated with NASD to form FINRA in 2007, Ms. Vogel began serving in her current role.

Ms. Vogel joined the NYSE from Citigroup, Inc. where she worked from 2001 to 2004, serving as Deputy Controller responsible for financial and regulatory reporting. Prior to joining Citigroup, Ms. Vogel served in various financial roles at J.P. Morgan, from 1992 to 2001, including the Chief Accounting Officer of J.P. Morgan & Co. Inc. and the Chief Financial Officer of J.P. Morgan Securities Inc. Ms. Vogel was with the NYSE from 1979 to 1992 as managing director, Member Firm Regulation. Prior to her work at the NYSE, Ms. Vogel was a senior examiner at NASD.

Ms. Vogel received her Bachelor of Science in Accounting at the State University of New York in Albany. She has been active in many financial industry organizations, including serving as President of the Financial Management Division of the SIA.