

# Enhancing Anti-corruption compliance effectiveness

## Multi-location proactive compliance review

### Client's challenge

A Fortune 500 global consumer and industrial products company had implemented an anti-corruption compliance program and wanted to determine whether certain business units had effectively implemented the program. In addition, a key component of this program was to conduct periodic assessments of the business units' compliance with the program. The company did not have the necessary anti-corruption experience, assessment methodologies or native language skills to effectively conduct these reviews across a number of diverse business units and international locations and therefore, turned to PwC.

### PricewaterhouseCoopers' Advisory solution

PwC worked closely with the client to develop a risk-based plan to focus on specific business units operating in various countries including China, Brazil, and Uruguay, and perform steps necessary to assess compliance with the company's anti-corruption policies and procedures. PwC assembled a cross-functional team of professionals in the US and in the local countries to execute the assessments. PwC developed a tailored approach that included interviewing key personnel, targeted testing of compliance sensitive transactions, analyzing interactions with third party agents, consultants and other intermediaries, and assessing relevant internal controls.

### Impact on client's business

PwC's assessments identified several areas where the company could improve the implementation of its anti-corruption compliance program and identified additional risks for the company to consider. The results of the assessments and ongoing dialogue between PwC and the client about how to address the identified risks and gaps in internal controls have enabled the client to make significant advances toward achieving its objective of establishing a robust and effective anti-corruption compliance program.

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