

Intelligence Screening

Failure to know the background of an individual or entity with whom you are dealing can be very costly.

In today's business environment, it is important for organizations to get the right information to make informed business decisions. However, a major problem faced by many companies today is the sheer volume of information that is available.

Intelligence screening is a key component of an anti-fraud regime and helps to achieve the following:

- Effective corporate governance
- Compliance with the legislative regulations in Canada (Bill 198: CSA MI 52-109) and the United States (Sarbanes-Oxley 404 Act)
- Reduction of liability
- Authentication of information provided by a research subject
- Identification of information gaps provided by a research subject
- Identification and authentication of information or evidence of criminal wrongdoing
- Assurance that standards are applied uniformly and in compliance with laws and regulations

How can we help you?

PricewaterhouseCoopers (PwC) Investigations & Forensic Services offers independence, expertise and the enhanced capacity to gather, analyze, assess, scrutinize, and report the information relevant to your specific needs in order to help you to make informed decisions.

With more than 155,000 people in 153 countries around its global network of member firms, PwC is the global leader in the professional services industry. We have the technical skills, knowledge and hands-on experience necessary to complete background research of people and entities such as the following:

- Verifying the integrity of suppliers and vendors
- Offering due diligence support for those providing M&A finance
- Checking the background and qualifications of new or promoted employees

Who to call

Steven Henderson
National Investigations & Forensic
Services Leader
416 941 8328
steven.p.henderson@ca.pwc.com

For more information, please visit:

www.pwc.com/ca/ifs

We can provide you with assistance in the following areas:

Assessment of an organization's vulnerabilities and risks

PwC can work with your management and human resources department to identify areas of risk for your organization and assess its exposure at the employee level. We will assist you in designing employee profiles for each level in your organization to determine the optimal mix of background checks that is necessary for each employee.

Conducting intelligence screening

PwC offers intelligence screening for employees and full scope background investigations for strategic third-parties, such as joint ventures, vendors, consultants and customers that include the following:

- Criminal record check
- Driving record search
- Verification of education and/or professional standing
- Personal credit history check
- Bankruptcy
- Litigation
- Media
- Public record information search
- Financial information
- Interviews, if requested and considered necessary

PwC conducts enquiries on a tiered program, based upon the degree of perceived risk, the findings of our investigations and the location of the subjects. We have the necessary resources to respond to changing requirements and to develop and follow up on relevant leads.