

Status of Claims as at October 30, 2008

Account No	Decision Code	Comment
10001009	13	Admitted - nothing further required.
10001014	13	Admitted - nothing further required.
10001020	14	Account is inter-related with other accounts, subject to further review.
10001021	14	Pending further review due to transfers between related accounts.
10001026	4	Claim rejected as filed. Subject to further review.
10001027	13	Admitted - nothing further required.
10001032	14	Amount of claim under review.
10001036	13	Admitted - nothing further required.
10001041	14	Amount of claim under review.
10001042	4	Claim rejected as filed. Subject to further review.
10001044	14	Amount of claim under review.
10001054	13	Admitted - nothing further required.
10001055	3	Information required - pending contact with investor.
10001059	14	Amount of claim under review.
10001060	14	Amount of claim under review.
10001066	4	Claim rejected as filed. Subject to further review.
10001068	2	Admitted - nothing further required.
10001071	4	Claim rejected as filed. Subject to further review.
10001073	14	Amount of claim under review.
10001075	2	Admitted - nothing further required.
10001076	14	Amount of claim under review.
10001079	14	Related to principals, final assessment pending.
10001082	14	Amount of claim under review.
10001086	3	Information required - pending contact with investor.
10001089	13	Admitted - nothing further required.
10001090	14	Amount of claim under review.
10001097	13	Admitted - nothing further required.
10001100	13	Admitted - nothing further required.
10001101	13	Admitted - nothing further required.
10001111	2	Admitted - nothing further required.
10001112	13	Admitted - nothing further required.
10001115	13	Admitted - nothing further required.
10001120	2	Admitted - nothing further required.
10001124	2	Admitted - nothing further required.
10001125	3	Information required - pending contact with investor.
10001127	14	Pending further review due to transfers between related accounts.
10001131	3	Information required - pending contact with investor.
10001132	4	Claim rejected as filed. Subject to further review.
10001134	2	Admitted - nothing further required.
10001136	13	Admitted - nothing further required.
10001140	13	Admitted - nothing further required.
10001148	13	Admitted - nothing further required.
10001155	14	Pending further review due to transfers between related accounts.
10001156	13	Admitted - nothing further required.
10001157	13	Admitted - nothing further required.
10001159	3	Information required - pending contact with investor.
10001161	2	Admitted - nothing further required.
10001163	14	Amount of claim under review.
10001167	13	Admitted - nothing further required.
10001168	3	Information required - pending contact with investor.
10001169	4	Claim rejected as filed. Subject to further review.
10001173	4	Claim rejected as filed. Subject to further review.
10001174	2	Admitted - nothing further required.
10001177	2	Admitted - nothing further required.
10001178	13	Admitted - nothing further required.
10001179	13	Admitted - nothing further required.
10001182	13	Admitted - nothing further required.
10001184	4	Claim rejected as filed. Subject to further review.

Account No	Decision Code	Comment
10001188	2	Admitted - nothing further required.
10001191	4	Claim rejected as filed. Subject to further review.
10001192	3	Information required - pending contact with investor.
10001193	13	Admitted - nothing further required.
10001194	4	Claim rejected as filed. Subject to further review.
10001196	2	Admitted - nothing further required.
10001197	4	Claim rejected as filed. Subject to further review.
10001200	13	Admitted - nothing further required.
10001201	14	Amount of claim under review.
10001202	13	Admitted - nothing further required.
10001203	13	Admitted - nothing further required.
10001206	14	Amount of claim under review.
10001209	2	Admitted - nothing further required.
10001211	13	Admitted - nothing further required.
10001215	3	Information required - pending contact with investor.
10001219	13	Admitted - nothing further required.
10001220	13	Admitted - nothing further required.
10001221	2	Admitted - nothing further required.
10001223	2	Admitted - nothing further required.
10001225	4	Claim rejected as filed. Subject to further review.
10001226	13	Admitted - nothing further required.
10001229	13	Admitted - nothing further required.
10001230	13	Admitted - nothing further required.
10001233	4	Claim rejected as filed. Subject to further review.
10001235	14	Amount of claim under review.
10001236	13	Admitted - nothing further required.
10001237	14	Amount of claim under review.
10001243	2	Admitted - nothing further required.
10001244	2	Admitted - nothing further required.
10001245	2	Admitted - nothing further required.
10001246	2	Admitted - nothing further required.
10001247	2	Admitted - nothing further required.
10001248	2	Admitted - nothing further required.
10001249	2	Admitted - nothing further required.
10001250	2	Admitted - nothing further required.
10001251	2	Admitted - nothing further required.
10001252	13	Admitted - nothing further required.
10001253	14	Amount of claim under review.
10001254	4	Claim rejected as filed. Subject to further review.
10001255	2	Admitted - nothing further required.
10001259	2	Admitted - nothing further required.
10001260	13	Admitted - nothing further required.
10001261	4	Claim rejected as filed. Subject to further review.
10001263	13	Admitted - nothing further required.
10001265	13	Admitted - nothing further required.
10001266	2	Admitted - nothing further required.
10001267	13	Admitted - nothing further required.
10001269	13	Admitted - nothing further required.
10001271	2	Admitted - nothing further required.
10001272	2	Admitted - nothing further required.
10001273	2	Admitted - nothing further required.
10001277	14	Related to principals, final assessment pending.
10001281	2	Admitted - nothing further required.
10001282	13	Admitted - nothing further required.
10001283	13	Admitted - nothing further required.
10001284	13	Admitted - nothing further required.
10001285	2	Admitted - nothing further required.
10001286	2	Admitted - nothing further required.
10001292	4	Claim rejected as filed. Subject to further review.
10001295	13	Admitted - nothing further required.

Account No	Decision Code	Comment
10001296	2	Admitted - nothing further required.
10001297	13	Admitted - nothing further required.
10001298	2	Admitted - nothing further required.
10001303	14	Amount of claim under review.
10001309	13	Admitted - nothing further required.
10001311	13	Admitted - nothing further required.
10001313	13	Admitted - nothing further required.
10001317	2	Admitted - nothing further required.
10001319	13	Admitted - nothing further required.
10001324	13	Admitted - nothing further required.
10001327	2	Admitted - nothing further required.
10001328	13	Admitted - nothing further required.
10001330	3	Information required - pending contact with investor.
10001331	2	Admitted - nothing further required.
10001332	13	Admitted - nothing further required.
10001334	13	Admitted - nothing further required.
10001335	13	Admitted - nothing further required.
10001336	3	Information required - pending contact with investor.
10001338	13	Admitted - nothing further required.
10001339	2	Admitted - nothing further required.
10001341	13	Admitted - nothing further required.
10001342	13	Admitted - nothing further required.
10001343	2	Admitted - nothing further required.
10001345	13	Admitted - nothing further required.
10001347	14	Claim to be admitted, amount of claim under review.
10001349	13	Admitted - nothing further required.
10001350	13	Admitted - nothing further required.
10001352	2	Admitted - nothing further required.
10001354	2	Admitted - nothing further required.
10001355	2	Admitted - nothing further required.
10001356	2	Admitted - nothing further required.
10001357	2	Admitted - nothing further required.
10001358	2	Admitted - nothing further required.
10001359	2	Admitted - nothing further required.
10001360	2	Admitted - nothing further required.
10001361	2	Admitted - nothing further required.
10001362	2	Admitted - nothing further required.
10001363	2	Admitted - nothing further required.
10001367	4	Claim rejected as filed. Subject to further review.
10001368	4	Claim rejected as filed. Subject to further review.
10001369	14	Pending confirmation of source of funds.
10001375	13	Admitted - nothing further required.
10001376	13	Admitted - nothing further required.
10001378	13	Admitted - nothing further required.
10001379	13	Admitted - nothing further required.
10001380	2	Admitted - nothing further required.
10001381	13	Admitted - nothing further required.
10001382	14	Related to principals, final assessment pending.
10001383	13	Admitted - nothing further required.
10001384	13	Admitted - nothing further required.
10001385	2	Admitted - nothing further required.
10001387	13	Admitted - nothing further required.
10001388	13	Admitted - nothing further required.
10001391	2	Admitted - nothing further required.
10001392	14	Claim to be admitted, amount of claim under review.
10001395	13	Admitted - nothing further required.
10001396	3	Information required - pending contact with investor.
10001398	2	Admitted - nothing further required.
10001400	13	Admitted - nothing further required.
10001401	2	Admitted - nothing further required.

Account No	Decision Code	Comment
10001405	2	Admitted - nothing further required.
10001407	2	Admitted - nothing further required.
10001408	13	Admitted - nothing further required.
10001409	13	Admitted - nothing further required.
10001410	2	Admitted - nothing further required.
10001411	13	Admitted - nothing further required.
10001412	13	Admitted - nothing further required.
10001413	13	Admitted - nothing further required.
10001414	13	Admitted - nothing further required.
10001415	2	Admitted - nothing further required.
10001416	2	Admitted - nothing further required.
10001417	2	Admitted - nothing further required.
10001418	13	Admitted - nothing further required.
10001419	2	Admitted - nothing further required.
10001420	2	Admitted - nothing further required.
10001421	13	Admitted - nothing further required.
10001422	2	Admitted - nothing further required.
10001423	2	Admitted - nothing further required.
10001424	2	Admitted - nothing further required.
10001425	2	Admitted - nothing further required.
10001426	2	Admitted - nothing further required.
10001428	13	Admitted - nothing further required.
10001432	14	Pending further review due to transfers between related accounts.
10001435	2	Admitted - nothing further required.
10001436	14	Amount of claim under review.
10001438	13	Admitted - nothing further required.
10001439	3	Information required - pending contact with investor.
10001440	2	Admitted - nothing further required.
10001441	2	Admitted - nothing further required.
10001443	2	Admitted - nothing further required.
10001444	2	Admitted - nothing further required.
10001446	14	Intra- account transfers under review.
10001447	13	Admitted - nothing further required.
10001448	2	Admitted - nothing further required.
10001449	2	Admitted - nothing further required.
10001450	2	Admitted - nothing further required.
10001451	14	Account is inter-related with other accounts, subject to further review.
10001452	14	Account is inter-related with other accounts, subject to further review.
10001455	14	Account is inter-related with other accounts, subject to further review.
10001456	14	Account is inter-related with other accounts, subject to further review.
10001458	14	Account is inter-related with other accounts, subject to further review.
10001459	13	Admitted - nothing further required.
10001461	13	Admitted - nothing further required.
10001463	14	Claim to be admitted, investigating potential mistaken withdrawal.
10001464	2	Admitted - nothing further required.
10001466	2	Admitted - nothing further required.
10001467	2	Admitted - nothing further required.
10001468	13	Admitted - nothing further required.
10001469	2	Admitted - nothing further required.
10001470	2	Admitted - nothing further required.
10001471	2	Admitted - nothing further required.
10001472	2	Admitted - nothing further required.
10001473	2	Admitted - nothing further required.
10001474	2	Admitted - nothing further required.
10001475	2	Admitted - nothing further required.
10001478	13	Admitted - nothing further required.
10001479	2	Admitted - nothing further required.
10001480	13	Admitted - nothing further required.
10001482	2	Admitted - nothing further required.
10001486	2	Admitted - nothing further required.

Account No	Decision Code	Comment
10001487	13	Admitted - nothing further required.
10001488	13	Admitted - nothing further required.
10001493	3	Information required - pending contact with investor.
10001494	3	Information required - pending contact with investor.
10001495	3	Information required - pending contact with investor.
10001497	13	Admitted - nothing further required.
10001498	13	Admitted - nothing further required.
10001499	2	Admitted - nothing further required.
10001500	13	Admitted - nothing further required.
10001503	14	Single transaction under review- otherwise admissible claim.
10001506	2	Admitted - nothing further required.
10001508	2	Admitted - nothing further required.
10001509	2	Admitted - nothing further required.
10001511	2	Admitted - nothing further required.
10001513	13	Admitted - nothing further required.
10001514	2	Admitted - nothing further required.
10001517	2	Admitted - nothing further required.
10001518	2	Admitted - nothing further required.
10001519	13	Admitted - nothing further required.
10001520	2	Admitted - nothing further required.
10001522	2	Admitted - nothing further required.
10001524	2	Admitted - nothing further required.
10001525	13	Admitted - nothing further required.
10001526	13	Admitted - nothing further required.
10001527	2	Admitted - nothing further required.
10001528	13	Admitted - nothing further required.
10001529	13	Admitted - nothing further required.
10001530	13	Admitted - nothing further required.
10001531	13	Admitted - nothing further required.
10001532	2	Admitted - nothing further required.
10001533	2	Admitted - nothing further required.
10001534	2	Admitted - nothing further required.
10001536	4	Claim rejected as filed. Subject to further review.
10001537	2	Admitted - nothing further required.
10001538	4	Claim rejected as filed. Subject to further review.
10001540	4	Claim rejected as filed. Subject to further review.
10001544	3	Information required - pending contact with investor.
10001545	2	Admitted - nothing further required.
10001546	13	Admitted - nothing further required.
10001547	2	Admitted - nothing further required.
10001548	2	Admitted - nothing further required.
10001549	3	Information required - pending contact with investor.
10001551	2	Admitted - nothing further required.
10001555	2	Admitted - nothing further required.
10001557	4	Claim rejected as filed. Subject to further review.
10001558	2	Admitted - nothing further required.
10001559	13	Admitted - nothing further required.
10001562	13	Admitted - nothing further required.
10001563	2	Admitted - nothing further required.
10001567	13	Admitted - nothing further required.
10001568	13	Admitted - nothing further required.
10001571	13	Admitted - nothing further required.
10001572	13	Admitted - nothing further required.
10001573	13	Admitted - nothing further required.
10001574	13	Admitted - nothing further required.
10001576	4	Claim rejected as filed. Subject to further review.
10001577	13	Admitted - nothing further required.
10001580	2	Admitted - nothing further required.
10001581	2	Admitted - nothing further required.
10001582	2	Admitted - nothing further required.

Account No	Decision Code	Comment
10001583	2	Admitted - nothing further required.
10001584	2	Admitted - nothing further required.
10001585	2	Admitted - nothing further required.
10001586	2	Admitted - nothing further required.
10001587	13	Admitted - nothing further required.
10001588	3	Information required - pending contact with investor.
10001589	13	Admitted - nothing further required.
10001590	13	Admitted - nothing further required.
10001592	2	Admitted - nothing further required.
10001596	2	Admitted - nothing further required.
10001597	13	Admitted - nothing further required.
10001598	2	Admitted - nothing further required.
10001600	2	Admitted - nothing further required.
10001601	14	Pending contact with investor re supporting records.
10001603	2	Admitted - nothing further required.
10001604	2	Admitted - nothing further required.
10001605	13	Admitted - nothing further required.
10001606	13	Admitted - nothing further required.
10001607	13	Admitted - nothing further required.
10001611	2	Admitted - nothing further required.
10001613	13	Admitted - nothing further required.
10001614	2	Admitted - nothing further required.
10001615	13	Admitted - nothing further required.
10001616	13	Admitted - nothing further required.
10001617	2	Admitted - nothing further required.
10001618	2	Admitted - nothing further required.
10001619	2	Admitted - nothing further required.
10001623	13	Admitted - nothing further required.
10001624	4	Claim rejected as filed. Subject to further review.
10001626	2	Admitted - nothing further required.
10001627	2	Admitted - nothing further required.
10001628	2	Admitted - nothing further required.
10001633	13	Admitted - nothing further required.
10001640	13	Admitted - nothing further required.
10001641	13	Admitted - nothing further required.
10001643	2	Admitted - nothing further required.
10001644	13	Admitted - nothing further required.
10001647	2	Admitted - nothing further required.
10001649	2	Admitted - nothing further required.
10001650	2	Admitted - nothing further required.
10001651	14	Amount of claim under review.
10001654	2	Admitted - nothing further required.
10001655	13	Admitted - nothing further required.
10001661	2	Admitted - nothing further required.
10001662	3	Information required - pending contact with investor.
90009000	3	Information required - pending contact with investor.
90009001	14	Account was maintained outside of main Tradex books and records. Pending further review.
90009002	14	Account was maintained outside of main Tradex books and records. Pending further review.
98888123	14	Account was maintained outside of main Tradex books and records. Pending further review.
98888132	14	Account was maintained outside of main Tradex books and records. Pending further review.
98888144	14	Account was maintained outside of main Tradex books and records. Pending further review.
98888149	14	Account was maintained outside of main Tradex books and records. Pending further review.
98888160	14	Claim to be admitted, amount of claim under review.
98888166	13	Admitted - nothing further required.
98888175	3	Information required - pending contact with investor.
98888191	3	Information required - pending contact with investor.
99998132	14	Account was maintained outside of main Tradex books and records. Pending further review.
99999116	14	Account was maintained outside of main Tradex books and records. Pending further review.
99999123	14	Account was maintained outside of main Tradex books and records. Pending further review.